

**DR. GREG FILBECK, CFA, FRM, CAIA, PRM**

**PROFESSOR OF FINANCE**

**SAMUEL P. BLACK III PROFESSOR OF FINANCE AND RISK MANAGEMENT  
ASSOCIATE DIRECTOR, BLACK SCHOOL OF BUSINESS  
DEPARTMENT CHAIR, FINANCE & ECONOMICS  
PENN STATE ERIE, THE BEHREND COLLEGE**

**Paper Presentations (80)**

“Competitor Share Price Reaction to Supply Chain Disruptions,” with Sanjay Kumar and Xin Zhao, Southern Finance Association, November 2014, Key West, FL

“Working Capital Efficiency and Shareholder Returns,” with Xin Zhao and Ryan Knoll, Southern Finance Association, November 2014, Key West, FL

“Dividend Yield Strategies: A New Breed of Dogs,” with Hunter Holzhauer and Xin Zhao, Southern Finance Association, November 2013, Fajardo, Puerto Rico

“The Impact of the Euro Crisis on the Financial Performance of European and North American Firms,” with Kenneth Louie and Xin Zhao, Southern Finance Association, November 2013, Fajardo, Puerto Rico.

“Market Share Growth and Performance Measures: The Case of Large versus Small Banks,” with Dianna Preece and Xin Zhao, Southern Finance Association, November 2012, Charleston, SC

“Barron’s Most Respected Companies,” with Raymond Gorman and Xin Zhao, Southern Finance Association, November 2012, Charleston, SC

“Shareholder Reaction to Firm Investment in the Capability Maturity Model: An Event Study,” with Matthew Swinarski and Xin Zhao, Southern Finance Association, November 2012, Charleston, SC

“Using Socially Responsibility Ratings to Outperform the Market: Evidence from Long-Only and Active-Extension Investment Strategies,” with Hunter Holzhauer and Xin Zhao, Southern Finance Association, November 2012, Charleston, SC

“The ABA Top Performing Banks in a Time of Financial Crisis: Can They Outperform the Worst?” with Dianna Preece and Xin Zhao, Southern Finance Association, November 2011, Key West, FL

“Barron’s Survey: The World’s Best CEOs,” with Raymond Gorman and Xin Zhao, Southern Finance Association, November 2011, Key West, FL

**Dr. Greg Filbeck, CFA, FRM, CAIA, PRM**  
**Paper Presentations**

“Why Track Inefficiency?” with Brian Boscaljon and Xin Zhao, Southern Finance Association, November 2011, Key West, FL

“Contrarian and Momentum Strategies: the Impact of Economic Cycles,” with Mingsheng Li and Xin Zhao, Financial Management Association Meeting, October 2011, Denver, CO

“Implications of the Changing Variance Components During Economic Turmoil: The Case of the Asian Financial Crisis” with Brian Boscaljon and Chia-Cheng Ho, Southern Finance Association Meeting, November 2010, Asheville, NC

“Growth in Market Share and Performance Variables: The Case of Larger versus Small Banks” with Dianna Preece, Southern Finance Association Meeting, November 2010, Asheville, NC

“Are the Best of the Best Better than the Rest? A Meta Study of Superlatives” with Raymond Gorman and Xin Zhao, Southern Finance Association Meeting, November 2010, Asheville, NC

“Market Timing Using the VIX for Style Rotation” with Brian Boscaljon and Xin Zhao, Southern Finance Association Meeting, November 2010, Asheville, NC

“Implications of the Changing Variance Components During Economic Turmoil: The Case of the Asian Financial Crisis,” with Brian Boscaljon and Chia-Cheng Ho, July 2010, Graduate University of Chinese Academy of Sciences

“SOX and the Regulated Firm,” Southern Finance Association Meeting, with Raymond Gorman, and Xin Zhao, November 2009, Captiva Island, FL.

“Top Performing Banks: Size Effects and Economic Cycles,” with Dianna Preece and Xin Zhao, Southern Finance Association Meeting, November 2009, Captiva Island, FL

“Can Growth-Oriented Community Banks Increase Market Share Against Regional Competitors within Small MSAs,” with Dianna Preece, Stephen Woessner, and Steve Burgess, Southern Finance Association Meeting, November 2009, Captiva Island, FL.

“Teaming: Collaboration Efforts between Courses in Corporate Finance and Manufacturing Engineering,” with Diane Parente, and John Roth, Southern Finance Association Meeting, November 2008, Key West, FL

“Top Performing Banks: The Benefits to Investors,” with Dianna Preece, and Xin Zhao, Southern Finance Association Meeting, November 2008, Key West, FL

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**Paper Presentations**

“Employee Rewards and the Likelihood of a Successful Initial Public Offering,” with Peg Thoms, and Brian Boscaljon, Southern Finance Association Meeting, November 2008, Key West, FL.

“The ‘Best Corporate Citizens:’ Are They Good for Their Shareholders,” with Raymond Gorman, and Xin Zhao, Financial Management Association Meetings, October 2008

“Bond Ratings and Bank Loan Announcements: The Effect on Equity Pricing,” with Brian Boscaljon and Dianna Preece, Southern Finance Meetings, November 2007

“Management Age and the Mutual Fund Performance,” with Dan Tompkins, Southern Finance Meetings, November 2007

“The ‘Best Corporate Citizens:’ Are They Good for Their Shareholders,” with Ray Gorman, Southern Finance Association Meetings, Destin, FL, November 2006

“An Annual Rebalancing Strategy to Create Efficient Portfolios,” with Brian Boscaljon and Chia-Cheng Ho, Southern Finance Association Meetings, Key West, FL, November 2005

“CFO’s Working Capital Survey: Do Selected Firms Work for Shareholders?” with Thomas Krueger and Dianna Preece, Southern Finance Association Meetings, Key West, FL, November 2005

“Business Method Patents as Non-Financial Indicators of Firm Value,” with Brian Boscaljon and Tim Smaby, Southern Finance Association Meetings, Naples, FL, November 2004

“How Efficient Are Common Benchmarks?” with Brian Boscaljon and Chia-Cheng Ho Financial Management Association Meetings, Denver, October 2004

“And the Winner Is: Does Advertising in Media Events Create Shareholder Value?” with Peggy Choong and Daniel L. Tompkins, Southern Finance Association Meetings, Charleston, SC, December 2003

“Risk Aversion and Personality Type – II,” with Patty Hatfield and Philip Horvath, Southern Finance Association Meetings, Charleston, SC, December 2003

“CFO’s Working Capital Survey: Do Selected Firms Work for Shareholders?” with Thomas Krueger and Dianna Preece, Southern Finance Association Meetings, Charleston, SC, December 2003

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**Paper Presentations**

“Industry Related Differences in Working Capital Management,” with Thomas Krueger, Southern Finance Association Meetings, Charleston, SC, December 2003

“Risk Aversion and Personality Type,” with Patty Hatfield and Philip Horvath, Midwest Finance Meetings, St. Louis, March 2003

“Management Tenure and the Risk Adjusted Performance of Mutual Funds,” with Daniel L. Tompkins, Southern Finance Association Meetings, Key West, FL, November 2002

“Targeting the Ad Bowl: Is it a Super Strategy?” with Peggy Choong, Daniel Tompkins, and Thomas Ashman, Allied Academies International Conference, Las Vegas, October 2002

“The Relationship Between Environmental and Financial Performance of Public Utilities: The Role of Regulatory Climate,” with Raymond Gorman, Southern Finance Association Meetings, Destin, FL, November 2001

“Supply Chain Management and Company Value,” with Raymond Gorman and Thomas Speh, Southern Finance Association Meetings, Destin, FL, November 2001

“Capital Structure and Asset Utilization: The Case of Resource Intensive Industries,” with Ray Gorman, Southern Finance Association Meetings, Savannah, GA, November 2000

“Productivity and Leverage: Relationship and Causality,” with Raymond J. Gorman, Southern Finance Association Meetings, Savannah, GA, November 2000.

“Women Key Executives, Management Succession, and the Impact on Stock,” with Sue Visscher, Karen Eastwood, and Sharon Garrison, Southern Finance Association Meetings, Savannah, GA, November 2000.

“The Option to Withdraw Seasoned Equity Issues,” with Daniel L. Tompkins, Southern Finance Association Meetings, Savannah, GA, November 2000

“Fortune’s 100 Best Companies to Work for in America: An Empirical Analysis,” with Dianne Preece, Southern Finance Association Meetings, Savannah, GA, November 2000

“Investment Merits of the World’s Most Admired Firms,” with Tom Krueger, Southern Finance Association Meetings, Savannah, GA, November 2000

“The Financial Performance of Organizations and Key Executives,” with Karen Eastwood, Sharon Garrison, and Sue Visscher, International Conference on Information Systems Analysis and Synthesis, Orlando, FL, July, 2000

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**Paper Presentations**

“Board Size: Valuation and Profitability of Small U.S. Firms” with Sharon K. Lee and Mark A. Coffey, Eastern Finance Association Meeting, Myrtle Beach, SC, April 2000

“The Impact of Managerial Ownership on Layoff Announcements,” with Shelly Webb, Southern Finance Association Meeting, Key West, November 1999

“Financial Practices in Family Business,” with Sharon Lee, Southern Finance Association Meeting, Key West, November 1999

“Mother Jones: Does “Better Place to Work” Imply “Better Place to Invest?” Southern Finance Association Meeting, Key West, November 1999

“Announcement Effects of Working Mother Magazine’s Annual Survey of Family-Friendly Firms” with Dianna Preece, Southern Finance Association Meeting, Key West, November 1999

“Valuation and Board Size: Further Information on Firm Size Effects,” with Sharon Lee and Jamie Pawlukiewicz, Midwest Finance Annual Meeting, Nashville, March 1999

“Faculty Internships in Family Business,” with Debbe Skutch and Deborah J. Dwyer, United States Association for Small Business and Entrepreneurship Annual Meeting, San Diego, CA, January 1999

“Dividend Yield Strategies in the Canadian Stock Market,” with Sue Visscher, Southern Finance Association Annual Meeting, San Marcos Island, FL, November 1998

“*Built to Last*, Built for Value?” with Raymond Gorman and Sue Visscher, Southern Finance Association Annual Meeting, San Marcos Island, FL, November 1998

"Institutional Ownership and Share Price Response to New Equity Offerings: Is There An Industry Effect" (solo authored paper), Southern Finance Association Annual Meeting, Baltimore, MD, November 1997

"Executive MBA Education: Using Learning Styles for Successful Teaching Strategies" with Shelly Webb, Baltimore, MD, November 1997

"The Variability of Beta: Another Dimension of Risk Exposure and Assessment" with Greg Filbeck, Bruce Kuhlman, and Herb Weinraub, Baltimore, MD, November 1997

"Does Being a Family Friendly Firm Pay? Evidence From Share Price Performance," with Dianna Preece, Financial Management Association Annual Meeting, Honolulu, Hawaii, October 1997

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**Paper Presentations**

"The Easterbrook Hypothesis, Regulation and the Impact of Dividend Payments and Agency Costs in Bank Holding Companies" with Don Mullineaux, Southern Finance Association Annual Meeting, Key West, FL, November 1996

"Does Being a Family Friendly Firm Pay? Evidence from Share Price Performance," with Dianna Preece, Southern Finance Association Annual Meeting, Key West, FL, November 1996

"Dividend Yield Strategies in the British Stock Market" with Sue Visscher, Southern Finance Association Annual Meeting, Key West, FL, November 1996

"Britain's Most Admired Firms: Are They Worth It?" with Dianna Preece, Southern Finance Association Annual Meeting, Sarasota, FL, November 1995

"Agency Theory and the House Banking Scandal" with Don Mullineaux and Dianna Preece, Southern Finance Association Annual Meeting, Sarasota, FL, November 1995

"Public Utility Companies: Regulation, Institutional Ownership, and The Share Price Response to New Equity Issues" with Patty Chadwell, Southern Finance Association Annual Meeting, Sarasota, FL, November 1995

"Learning Styles, Teaching and Testing Strategies, and Predictors of Success for Students in Corporation Finance" with Linda Smith, Southern Finance Association Annual Meeting, Sarasota, FL, November 1995

"Insider Trading and Regulation: The Case of Bank Holding Companies" with Don Mullineaux, Southern Finance Association Annual Meeting, Charleston, SC, December 1994

"Institutional Ownership and Share Price Response to Announcements of New Common Stock Issues of Bank Holding Companies", Southern Finance Association Annual Meeting, Charleston, SC, December 1994

"Share Price and Wealth Effects of Corporate Layoffs: A Methodology Modification" with Philip Horvath and Patty Chadwell, Eastern Finance Association Annual Meeting, Boston, MA, April 1994

"Agency Theory and the House Banking Scandal" with Don Mullineaux and Dianna Preece, Southern Finance Association Meeting, New Orleans, LA, November 1993

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**Paper Presentations**

"Prospect Theory and Incentive Implications of ESOPs on Employee Attitudes Toward Firm Valuation" with Ray Gorman, Southern Finance Association Annual Meeting, Jacksonville, FL, November 1992

"*Fortune's* Most Admired Firms: An Investor's Perspective" with Ray Gorman and Dianna Preece, Southern Finance Association Annual Meeting, Jacksonville, FL, November 1992

"Behavioral Aspects of the Intra-Industry Capital Structure Decision" with Ray Gorman and Dianna Preece, Financial Management Association Annual Meeting, San Francisco, CA, October 1992

"Shareholder Wealth Implications of Layoff Announcements," with Patty Chadwell, Southern Finance Association Annual Meeting, Key West, FL, November 1991

"Self Deception and Financial Decision Making," with Ray Gorman and Dianna Preece, Southern Finance Association Annual Meeting, Key West, FL, November 1991

"Agency Theory and the Impact of Corporate Layoffs on Shareholder Wealth," with Patty Chadwell, Eastern Finance Association Meeting, Hot Springs, VA, April 1991

"The Impact of Inside Ownership Concentration on Share Price Response of Dividend Increase Announcements by Bank Holding Companies," with Patty Chadwell, Midsouth Academy of Economics and Finance, Shreveport, LA, February 1991

"Regulatory Monitoring and the Impact of Bank Holding Company Dividend Changes on Equity Returns," with Don Mullineaux, Midwest Finance Association Annual Meeting, Chicago, IL, March 1990

"Dividend Policy and Ownership Concentration: Capital Market Evidence in the Case of Commercial Bank Stocks," with Patty Chadwell and Dennis Officer, Eastern Finance Association Annual Meeting, Philadelphia, PA, April 1989